

In The United States Patent and Trademark Office

PATENT

2682
#5
04-17-01
S/B



In re Application of:

Assignee: RespondTV, Inc.

Inventors: Nizar Allibhoy et al.

Application No: 09/635,735

Filed: August 9, 2000

For: A METHOD AND SYSTEM FOR
CONTROLLING AND AUDITING
CONTENT/SERVICE SYSTEMS

Group Art Unit: 2611

Examiner: Unknown

Certificate of Mailing Under 37 C.F.R. § 1.8

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all
enclosures are being deposited with the United States Postal Service
as first class mail on the date indicated below in an envelope
addressed to the Assistant Commissioner for Patents, Washington,
D.C. 20231

Date: December 28, 2000

Type or Print Name of Person Mailing: Nancy Hine

Signature of Person Mailing

Nancy Hine

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Technology Center 2600

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form
PTO-1449 are being brought to the attention of the Examiner for consideration in connection
with the examination of the above-identified patent application.

I. Timing of the Information Disclosure Statement:

This Information Disclosure Statement:

_____ Accompanies the new patent application submitted herewith. 37 CFR
§ 1.97(a).

_____ Is filed within three months after the filing date of the application or
within three months after the date of entry of the national stage of a
PCT application as set forth in 37 CFR § 1.491.

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X As far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits. In the event, however, that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is hereby authorized to charge Deposit Account No. 50-1193 for any fees required pursuant to 37 CFR §§ 1.17(p) or 1.17(i)(1).



 Is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$240) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

 Is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

If either of the two immediately preceding paragraphs above referring to a certification are checked, the following "certification" under 37 CFR § 1.97(e) may need to be completed. The undersigned certifies that:

 Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

 No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

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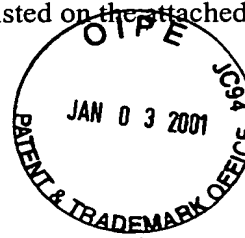
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II. Copies of the Cited Items:

- X Copies of all of the items listed on the attached Form PTO-1449 are enclosed.
- Copies of only the following items listed on the attached Form PTO-1449 are enclosed:
1. [List the items]
 - 2.
- Copies of those items which are marked with an asterisk (*) in the attached Form PTO-1449 are not supplied because they were previously cited by or submitted to the Office in a prior Application No. 09/448/402, filed November 24, 1999 and relied upon in this application for an earlier filing date under 35 U.S.C. § 120. See 37 C.F.R. § 1.98(d).



III. Concise Explanation of Relevance:

- X A concise explanation of relevance of the items listed on Form PTO-1449 is not given.
- A concise explanation of relevance of [some of] the items listed on Form PTO-1449 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].
- A concise explanation of relevance of the items listed on form PTO-1449 is given for only non-English language listed item(s). Specifically, the following foreign-language documents are being brought to the attention of the Examiner along with a concise explanation of the relevance of each document, as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information in each document:
1. [List the items and concise explanation of relevance]
 - 2.

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IV. Conclusion:

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. 50-1193 for any additional fees required in connection with the filing of this Information Disclosure Statement.

Please address all correspondence regarding this communication to the following address:

David G. Beck
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Three Embarcadero Center
San Francisco, California 94111
Telephone: (415) 393-2404



Date: December 28, 2000

Respectfully submitted,

McCutchen, Doyle, Brown & Enersen, LLP

By:

A handwritten signature of David G. Beck in black ink.

David G. Beck
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Attorneys for Applicant

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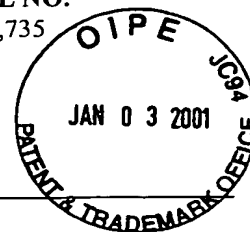
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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
21143-7004SERIAL NO.
09/635,735APPLICANT
Nizar Allibhoy et al.FILING DATE
August 9, 2000GROUP ART UNIT
2161

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
	A1	6,016,504	1/18/00	Arnold et al.	709	200	8/28/96
	A2	5,970,472	10/19/99	Allsop et al.	705	26	10/19/99
	A3	5,963,915	10/5/99	Kirsch	705	26	2/21/96
	A4	5,897,622	4/27/99	Blinn et al.	705	26	10/16/96
	A5	5,745,681	4/28/99	Levine et al.	395	200.3	1/11/96
	A6	5,710,887	1/20/98	Chelliah et al.	395	226	8/29/95
	A7	5,664,110	9/2/97	Green et al.	705	26	12/8/94
	A8	5,347,632	9/13/94	Filepp et al.	395	200	7/28/89
	A8						
	A10						
	A11						
	A12						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
	B1							
	B2							
	B3							
	B4							
	B5							
	B6							

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C1	Enhanced Content Specification, Advanced Television Enhancement Forum (ATVEF), v.1.1 r26. Pages 1-38
	C2	T. Wugofski, A Modular Hypertext markup Language for Broadcast Applications", pgs 1-189
	C3	
	C4	
	C5	
	C6	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

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